

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: To Be Assigned	Art Unit: To Be Assigned
Re: Application of:	BERKAU et al.
Serial No.:	To Be Assigned
Filed:	Herewith
For:	COATING COMPOSITION FOR FORMING SELF-LAYERING OR SELF-COATING LACQUER SYSTEMS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Mail Stop PCT

September 26, 2003

S i r:

In accordance with the provisions of 37 C.F.R. § 1.97, Applicant hereby makes of record the documents listed on the accompanying PTO-1449 Form (1 page) for consideration by the Examiner in connection with the examination of the above-identified patent application. While the references are being submitted herewith, some or all of the references may not constitute prior art under the U.S. patent laws.

This Information Disclosure Statement is filed under 37 C.F.R. §1.97 (b), before the mailing date of a First Office Action. Therefore, no fee is believed due.

In the event any additional fee is due in connection with this response or if any fee has been overpaid, the deficiency or overpayment should be charged to our Deposit Account No. 50-0552.

It is respectfully requested that the references cited in the accompanying PTO-1449 form be considered and made of record. It is respectfully submitted that the pending claims are patentable over all of the references made of record at this time.

Respectfully submitted,

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FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.: 510.1085

SERIAL NO.: To Be Assigned

LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT(S): BERKAU et al.

FILING DATE: Herewith

GROUP: To Be Assigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AA	4	2	4	6	3	6	8	Jan. 20, 81	Murase	525	117	
	AB	4	3	1	2	9	0	2	Jan. 26, 82	Murase et al	427	386	
	AC	4	9	1	6	0	1	9	Apr. 10, 90	Nakatani et al	428	418	
	AD	4	3	0	5	9	9	4	Dec. 15, 81	Murase	428	411	
	AE	4	3	4	5	0	0	4	Aug. 17, 82	Miyata et al	428	416	
	AF												
	AG												
	AH												
	AI												

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
													YES	NO
	AJ	3	1	1	7	3	0	2	Mar. 4, 82	DE-Germany			see US 4,345,004	
	AK	2	8	2	1	0	1	2	Nov. 16, 78	DE-Germany			see US 4,246,368	
	AJ	3	0	0	8	8	2	5	Sep. 11, 80	DE-Germany			see US 4,312,902	
	AK	3	0	3	0	1	1	6	Feb. 19, 81	DE-Germany			see US 4,305,994	
	AL	3	7	0	2	5	0	3	Jul. 30, 87	DE-Germany			see US 4,916,019	
	AM	2	0	4	6	7	6	5	Nov. 19, 80	GB-Great Britain				
	AN	1	5	7	0	5	4	0	Jul. 2, 80	GB-Great Britain				
	AO	2	1	9	2	3	9	9	Jan. 13, 88	GB-Great Britain				
	AP	1	0	3	8	9	3	5	Sep. 27, 00	EP-Europe				
	AO	9	9	2	0	7	0	2	Apr. 29, 99	WO-WIPO			see EP 1,038,935	

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	CARR et al. "Theoretical aspects of self-stratification" in Progress in Organic Coatings, Vol. 28, Issue 3, July 1996, pp. 161-171 (abstract)
	AS	WALBRIDGE "Self-stratifying coatings -- an overview of a European Community Research Project" in Progress in Organic Coatings, Vol 28, Issue 3, July 1996, pp. 155-159 (abstract)
	AT	

EXAMINER

DATE CONSIDERED